

Chichester District Council
CORPORATE GOVERNANCE & AUDIT COMMITTEE

31st October 2022

Progress Report – Audit Plan for 2022/23

1. Contacts

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2. Recommendation

- 2.1 That the committee notes performance against the audit plan for 2022/23 and audits proposed to be deferred to 2023/24/dropped

3. Update on 2022/23 Audit Plan

- 3.1. The audit report issued as final since the last committee meeting is:

- Discretionary Housing payments

- 3.2. Results of the audit are contained in appendix one. There have been no audits given a 'No Assurance' rating and no critical exceptions have been raised.

- 3.3. As advised at the July 2022 Corporate Governance and Audit Committee, the Senior Auditor has resigned from her position with the Council, effective April 2021. Her position has just been filled effective from the 1st October but this is only a third of a fulltime equivalent, so it is necessary to adjust the original plan and I am proposing to defer the following audits to 2023/2024:

- Penalty Charge Notices
- Refunds across CDC
- CCS Management of Vehicle Spares
- Cyber Security
- Facilities Health & Safety processes
- Residential Parking Permits
- CCS Health & Safety processes
- Electronic Data Retention – progress of project implementation across the organisation
- Habitat Regulations process

- 3.4. I am proposing that the following audits are removed and not deferred:
- Selection of Contractors - Management Checks (covered as part of 2021/22 Contract Management audit)
 - Grants & Concessions (well managed)
- 3.5. As advised at the July 2022 Corporate Governance and Audit Committee, Moving In/Out of Westward House Processes will be added to the 2022/23 plan, taken out of contingency time.
- 3.6. The 2022/2023 audit plan noted by committee on 14 March 2022 comprised of 23 full audits and 3 follow-ups (not including CCS Management of Vehicle Spares, which was deferred to the 2022/23 plan from the 2021/22 plan after the 2022/23 audit plan had been noted by committee).
- 3.7. Implementing the above proposed changes would mean that the 2022/23 plan contains 14 full audits and 3 follow-ups.
- 3.8. As at 5th October 2022, 1 audit report has been issued as final (6%) and 6 audits are a work in progress (35%).

5. Background

- 5.1. Not Applicable

6. Outcomes to be Achieved

- 6.1. Not Applicable

7. Proposal

- 7.1. Not Applicable

8. Alternatives Considered

- 8.1. Not Applicable

9. Resource and Legal Implications

- 9.1. Not Applicable

10. Consultation

- 10.1. Not Applicable

11. Community Impact and Corporate Risks

- 11.1. Not Applicable

12. Other Implications

<i>Are there any implications for the following?</i>		
	Yes	No
Crime & Disorder:		√
Climate Change and Biodiversity:		√
Human Rights and Equality Impact:		√
Safeguarding and Early Help:		√
General Data Protection Regulations (GDPR):		√
Health and Wellbeing:		√
Other (Please specify):		√

13. Appendices

13.1. Audit completed since the last committee report.

Background Papers

14.1 None